

lease type a plus sign (+) inside this box -Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/667,612 TRANSMITTAL **Filing Date** Sep 22, 2003 **FORM** First Named Inventor Lampson Group Art Unit (to be used for all correspondence after initial filing) 2132 DARROW, JUSTIN T **Examiner Name** Attorney Docket Number MS1-331USC1 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) Sheets of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request identify below): **Terminal Disclaimer** PTO Form 1449; return postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Frederick M. Fliegel, Reg. No. 36,138 Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 👱 Carly E. Taylor Typed or printed name Date Signature

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective 10/01/2003. Patent fees are subject to annual revision.

\_\_\_ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	10/667,612				
Filing Date	Sep 22, 2003				
First Named Inventor	Lampson				
Examiner Name	DARROW, JUSTIN T				
Art Unit	2132				
Attorney Docket No.	MS1-331USC1				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None	3. ADDITIONAL FEES						
Order Order	Large Entity   Small Entity						
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Account 12-0/69	Code (\$) Code (\$)  1051 130 2051 65 Surcharge - late filling fee or oath	۳					
Number Deposit Los & House DLL C	1052 50 2052 25 Surcharge - late provisional filing fee or	┨					
Account Name Lee & Hayes, PLLC	cover sheet	┨					
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification 1812 2.520 1812 2.520 For filing a request for ex parte reexamination	┪					
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination  1804 920* 1804 920* Requesting publication of SIR prior to	7					
Charge any additional fee(s) or any underpayment of fee(s)	Examiner action	4					
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	4					
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month	$\dashv$					
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month	┨					
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month	$\dashv$					
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month	4					
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month	$\dashv$					
1002 340 2002 170 Design filing fee	1401330 2401 165 Notice of Appeal	4					
1003 530 2003 265 Plant filing fee	1402 .330 2402 165 Filing a brief in support of an appeal	4					
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing	4					
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding	4					
SUBTOTAL (1) (\$) 0.00	1452 110 2452 55 Petition to revive - unavoidable	$\dashv$					
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330 2453 665 Petition to revive - unintentional	4					
Fee from	1501 1,330 2501 665 Utility Issue fee (or reissue)	4					
Extra Claims below Fee Paid  Total Claims -20** = X =	71	-					
Independent 311 - 1	1503 640 2503 320 Plant issue fee	$\dashv$					
Claims -3 =	1460 130 1460 130 Petitions to the Commissioner	$\dashv$					
Large Entity   Small Entity	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	$\dashv$					
Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Strat	$\dashv$					
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)						
1202     18     2202     9     Claims in excess of 20       1201     86     2201     43     Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	7					
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))						
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770 2801 385 Request for Continued Examination (RCE)	1					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application	]					
	Other fee (specify)						
SUBTOTAL (2) ((\$) 0.00  **or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00	7					
SUBMITTED BY							

SUBMITTED BY

Name (Print/Type) Frederick M. Fliegel Registration No. (Altomev/Agent) 36,138 Telephone (509) 324-9256

Signature Date Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No	10/667.612
Filing Date	
Confirmation No.	
Inventorship	
Applicant	Microsoft Corporation
Group Art Unit	2132
Examiner	
Attorney's Docket No.	MS1-331USC1
Title: Secure Execution of Program Code	

#### INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

#### **REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 5 2004

By: Frederick M. Fliegel
Reg. No. 36,138

Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1

Sheet of

Complete if Known					
Application Number	10/667,612				
Filing Date	Sep 22, 2003				
First Named Inventor	Lampson				
Group Art Unit	2132				
Examiner Name	DARROW, JUSTIN T				
Attorney Docket Number	MS1-331USC1				

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	I Mumber	ment d Code²	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		6,609,199		DeTreville	08-19-2003		
		6,651,171		England et al.	11-18-2003		
		2004/0015694		DeTreville	01-22-2004		
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FOREIGN PATENT DOCUMENTS									
5		F	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant		
Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Le	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.